



**STANDARD BRED
IMPROVEMENT PROGRAM**

**2020
PROGRAM CRITERIA**



Ontario Racing is the Program Administrator for the Standardbred Improvement Program, a component of Ontario's Horse Improvement Program.

Ontario Racing is a horse racing industry association which was established to assume many of the functions of the Ontario Horse Racing division of the former Ontario Racing Commission. The organization is responsible for directing breed improvement programs, setting an annual program of races and purses, attracting new horse owners, building a fan base and connecting the industry with government and the general public.

Ontario Racing serves as the voice of the horse racing industry and works closely with the Ontario Lottery and Gaming Corporation (OLG) towards the integration of horse racing into OLG's gaming strategy.

Information and all required Program application forms are available for viewing and download at www.ontarioracing.com.

ONTARIO RACING

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About This Guide

This booklet presents the 2020 participation and reward criteria for the Standardbred Improvement Program.

It is important that those intending to participate in the Standardbred Improvement Program read and understand the Program criteria as outlined in this guide, as some participation requirements may have changed.

This booklet will be updated annually to inform Program participants of requirements that must be met in order to receive Program benefits.

Information contained herein is subject to change due to changes in funding or other factors. Ontario Racing, at its sole discretion, may from time to time make changes to the Standardbred Improvement Program criteria of any nature and kind whatsoever, including the terms, conditions and the purse structure of the Ontario Sires Stakes. Program participants agree to be bound by such changes. Please refer to Ontario Racing's website www.ontarioracing.com for updates.

PROGRAM HIGHLIGHTS

The Standardbred Improvement Program (SIP) is a component of Ontario's Horse Improvement Program (HIP), which offers incentives for the breeding and ownership of Standardbred racehorses in Ontario.

For 2020, \$18 million will be available in awards and purses through Ontario Sires Stakes (OSS) races, purse bonuses and benefits for Mare Owners.

Who receives benefits from the Program?

- Owners of ONTARIO SIRED horses racing in the Ontario Sires Stakes;
- Owners and Lessees of ONTARIO RESIDENT MARES with ONTARIO BRED purse-winning offspring racing in the Ontario Sires Stakes and selected Open Stakes.

Important Dates

Pre-Foaling	Mare Enrollment (\$100)
January 15	Stallion Registration New \$200 +Levy, Renewal \$100 +Levy, Late \$500 + Levy (Levy equals 50% of Service Fee or \$500, whichever is more)
February 15	Three-Year-Old (3YO) OSS Sustaining Payment (\$800)
March 15	Two-Year-Old (2YO) OSS Sustaining Payment (\$600)
June 15	OSS Yearling Nomination (\$100)
September 1	Final day for receipt of Late Mare Enrollments (\$300)

What's New In 2020

Super Final purses have been increased to \$250,000 per division.

Pilot project for 2020-21: Prospect Series

Trailer rule revised to allow track-level decisions related to trailers

Update to Breeder's Enhancement Program

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It is the responsibility of the applicant to demonstrate that all the requirements of the Program have been met to the satisfaction of the Program Administrator. The Program Administrator reserves the right to amend, cancel or alter the Program and/or make changes to the terms, conditions, and benefits of any nature and kind whatsoever and Program participants hereby agree to be bound by such changes.

1. PROGRAM OVERVIEW

The Standardbred Improvement Program (the “**Program**”) utilizes a principle-based approach that focuses on supporting breeding and ownership of high quality Standardbred racehorses in Ontario. The main objectives of the Program are as follows:

- Strengthening the quality (and size) of the Ontario broodmare band to support a strong market for quality Ontario stallions and profitable markets for offspring of Ontario breeding programs
- Encouraging investment in Ontario breeding stock and offspring for racing
- Supporting an expanded fan base and enhanced wagering for Standardbred racing in Ontario.

2020 Program Incentives

The Standardbred Improvement Program incentives available for 2020 include the following:

ONTARIO BRED REWARDS

Rewards to eligible ONTARIO RESIDENT MARE owners/lessees with ONTARIO BRED purse-winning offspring racing in Ontario Sires Stakes races and Finals of selected Open Stakes in Ontario.

ONTARIO SIREN STAKES PROGRAM

A series of races for horses foaled in 2017 and 2018, sired by eligible ONTARIO SIREN of 2016 and 2017, and nominated to and sustained in the Ontario Sires Stakes program.

BREEDERS' ENHANCEMENT PROGRAM REWARDS

For more information on this program please see pages 15 and 16.

ELIGIBILITY

Detailed eligibility criteria for the 2020 Standardbred Improvement Program incentives are included in Section 4 “Eligibility Criteria and Incentives for 2020”.

To be eligible for Standardbred Improvement Program benefits, subject to verification of their breeding, horses will be registered by Standardbred Canada as:

ONTARIO BRED (out of an ONTARIO RESIDENT MARE) AND/OR
ONTARIO SIRE (sired by a registered ONTARIO SIRE)

An ONTARIO BRED horse is the offspring of an ONTARIO RESIDENT MARE and thereby eligible to earn Ontario Bred Rewards. The Ontario Resident Mare Program was established in 2010 in response to industry recommendations outlined in the Ontario Horse Improvement Program Review Final Report and approved by the Board of the former Ontario Racing Commission. See Section 3 “Program Definitions” for the definitions of an ONTARIO RESIDENT MARE and an ONTARIO BRED horse.

A horse that is the offspring of a recognized ONTARIO SIRE shall be eligible to be registered as ONTARIO SIRE and thereby eligible to be nominated to the Ontario Sires Stakes. A horse could be ONTARIO SIRE, and not foaled out in Ontario, and still be eligible to be registered as ONTARIO SIRE. (See Section 3 “Program Definitions” for the definition of an ONTARIO SIRE horse).

The Program provides benefits to the owners of ONTARIO SIRE horses through Ontario Sires Stakes purses. Horses registered as ONTARIO SIRE that meet all Ontario Sires Stakes eligibility criteria will be eligible to race in the Ontario Sires Stakes program.

A horse can be both ONTARIO SIRE and ONTARIO BRED, and be eligible for incentives attached to each status.

2. PARTICIPATING IN THE PROGRAM

To participate in the Standardbred Improvement Program for 2020 and future years, the requirements below must be met.

2.1 Requirements for Owners, Lessees and Breeders

Stallion Owners (or Lessees) must register their stallion with the Program by January 15th each year for the horse to be recognized as an ONTARIO SIRE. After this date, late registration fees and special conditions apply. Stallions must be either owned or leased by an Ontario Resident.

To register a stallion as an ONTARIO SIRE, you must hold a current Alcohol and Gaming Commission of Ontario (AGCO) licence (see Section 2.2 below), complete the Standardbred Stallion Registration, and submit all required fees.

Broodmare Owners (or Lessees) must hold a current AGCO licence (see Section 2.2 below), and enroll the broodmare as an ONTARIO RESIDENT MARE for each foal year in which she is eligible and submit all required fees.

Breeders / Foal Owners must register foals according to all Standardbred Canada requirements for foal registration. Upon confirmation from the Program, Standardbred Canada will record the foal's status as ONTARIO SIRE and/or ONTARIO BRED in the horse's registration record. Where foals are registered with the United States Trotting Association (USTA), Standardbred Canada will ensure that the relevant status for Ontario benefits is attached to the horse's record.

Owners of Ontario Sires Stakes eligible horses must nominate and sustain the eligible yearlings, two-year-olds or three-year-olds with the Ontario Sires Stakes program and meet all eligibility requirements for the Ontario Sires Stakes program (including payment of all required fees). For information on eligibility requirements, visit the OSS website at www.ontariosiresstakes.com.

2.2 Alcohol and Gaming Commission of Ontario (AGCO) Licencing Requirements

A mare owner or lessee who is enrolling a mare in the Ontario Resident Mare Program must hold a current AGCO licence.

Stallion owners or lessees and their designated AUTHORIZED AGENTS must also hold a current AGCO licence.

Mare owners, stallion owners or lessees and AUTHORIZED AGENTS who do not require an AGCO licence for any purpose other than Program participation, may apply for a Program Licence for a fee of \$20. For first time applications for AGCO licences, an additional \$20 fee will apply.

For licencing questions, contact the AGCO at 416-326-0863 or 1-800-522-2876, by e-mail at customerservice@agco.ca or online at www.agco.ca

2.3 Stallion Eligibility

REGISTERING A STALLION AS AN ONTARIO SIRE

To be eligible for registration as an ONTARIO SIRE, a stallion must be:

- Wholly owned by an ONTARIO RESIDENT, and standing his entire NORTHERN HEMISPHERE STUD SEASON in the Province of Ontario; or
- Leased to an ONTARIO RESIDENT for a term of at least one year, and standing his entire NORTHERN HEMISPHERE STUD SEASON in the Province of Ontario during the term of that lease, with a copy of the written lease agreement on file with Standardbred Canada.

Verification of ownership (or leasing) of the stallion will be confirmed by Standardbred Canada records. Where registration documentation verifying the ownership of a stallion is in the process of being transferred, and Standardbred Canada is otherwise unable to verify the ownership of a stallion, other proof of ownership satisfactory to the Program Administrator must be submitted with the application for registration.

To be eligible for registration as an ONTARIO SIRE, Standardbred stallions must be registered with Standardbred Canada and/or the United States Trotting Association (USTA) and must be DNA-tested in accordance with prevailing Standardbred Canada regulations, as amended from time to time. A stallion is ineligible for registration as a 2020 ONTARIO SIRE if it breeds or has bred any mares during the 2020 calendar year prior to the approval of the application.

Owners, lessees, and AUTHORIZED AGENTS of owners or lessees signing an application for registration of a stallion, must hold a current AGCO licence.

An AUTHORIZED AGENT may register a stallion on behalf of the owner or lessee, if:

- The owner or lessee holds a current AGCO licence, AND
- The AUTHORIZED AGENT holds a current AGCO licence, AND
- The appropriate AUTHORIZED AGENT documents are recorded on file with Standardbred Canada.

Owners, lessees or AUTHORIZED AGENTS, who violate the stallion registration conditions or the intent of the stallion registration conditions, will be subject to sanctioning by Ontario Racing. Ontario Racing, in its absolute discretion, may waive the breach of any stallion condition, which it does not consider prejudicial to the best interests of the Standardbred Improvement Program or the Ontario Sires Stakes program.

DEADLINES FOR STALLION REGISTRATION

The deadline for applying to register a stallion without a penalty is January 15th of the breeding year.

Applications to register a stallion received by the Program after January 15th of the breeding year will be subject to payment of a Late Registration Fee (see below).

A stallion is ineligible for registration as a 2020 ONTARIO SIRE if it breeds or has bred any mares during the 2020 calendar year prior to the approval of the application.

FEES FOR STALLION REGISTRATION BEFORE JANUARY 15TH OF THE BREEDING YEAR

The applicable fees for registration of a stallion as an ONTARIO SIRE are:

- \$200 for a New Registration (if not registered as an ONTARIO SIRE in the previous year (2019)); OR
 - \$100 for a Renewal Registration (for stallions registered as an ONTARIO SIRE in the previous year (2019))
- PLUS -**
- An Ontario Sires Stakes Levy fee equal to 50% of the advertised service fee for the current year. If the service fee for the current year is listed as "Private", the Ontario Sires Stakes Levy payable will be equal to 50% of the highest amount that would be charged for a service fee for the current year or \$500.00, whichever is GREATER.

LATE REGISTRATION

To register a stallion after January 15th, the required registration fee is \$500.00 (for either "New" or "Renewal" registrations), PLUS the Ontario Sires Stakes Levy amount as outlined above.

HOW TO REGISTER A STALLION

Registration of a stallion as an ONTARIO SIRE for the current breeding season requires completion of the *Standardbred Stallion Registration* form. Completed forms, with payment of all required fees, must be submitted by the required deadline to:

*Standardbred Improvement Program
Ontario Racing
c/o Woodbine Mohawk Park, PO Box 160
Campbellville, ON L0P 1B0*

Any applications received by mail that have no postmark or have an unreadable postmark on the envelope, will only be accepted up to January 16, 2020. After that date the late registration fee of \$500 will apply.

Therefore, to avoid being penalized for the failure of Canada Post to properly postmark the envelope carrying the application, it is strongly recommended that these materials be sent by registered mail.

Failure to submit the appropriate registration fee and Ontario Sires Stakes Levy along with the application could result in the foals born to that stallion in 2021 being ineligible for nomination to the Ontario Sires Stakes program.

A list of registered ONTARIO SIREs will be published as soon as possible after January 15, 2020. It may not be possible to include all stallions registered pursuant to the late registration provisions on the published list.

Completed forms may be submitted by fax to 416-477-5499 "Attention: Ontario Stallion Program", if arrangements have been made for the remittance of all required fee payments. Ontario Racing accepts no responsibility for the receipt of faxed application forms by the required deadline. It is recommended that you retain a fax transmission receipt to confirm the date/time of the fax transmission.

The 2020 *Standardbred Stallion Registration Form* is available on the Ontario Racing website at www.ontarioracing.com.

2.4 Enrolling a Broodmare as an ONTARIO RESIDENT MARE

BROODMARE ELIGIBILITY

Mare Owners (or Lessees) must enroll their mare(s) as an ONTARIO RESIDENT MARE for each foal year for their foals to be recognized as ONTARIO BRED.

To enroll a mare, the mare must be in Ontario, and mare owners (or lessees) and their agents must:

- Hold a current AGCO licence
- Complete and submit the 2020 *Standardbred Mare Enrollment Form* with all required payments.

To be eligible as an ONTARIO RESIDENT MARE, a broodmare must be:

- Located within the boundaries of the Province of Ontario at the time of enrollment and foaling; and
- Must remain resident in the Province of Ontario for a minimum of 180 consecutive days surrounding foaling in Ontario.

Documentation confirming arrival dates within Ontario may be required. This may include, but is not limited to, Veterinary Health Certificate for Export of Horses, horse transportation contracts, Health Inspection Certificates at port of entry and horse transportation invoices.

BROODMARE ENROLLMENT

The fee to enroll a broodmare is \$100 before the mare foals or \$300 after she foals but before September 1st of the foaling year. Please Note: Third party proof of residency must be submitted if the mare is enrolled after foaling.

The broodmare must be resident in Ontario at the time of enrollment.

The day the *Standardbred Mare Enrollment* form is postmarked is considered to be "Day One" of the required 180-day residency requirement period.

Any applications received by mail that have no postmark or have an unreadable postmark on the envelope, will only be accepted up to January 16, 2020. After that date the late registration fee of \$500 will apply.

The Program Administrator may request transportation documents and/or copies of records for the mare such as invoices for veterinarian services, farrier (blacksmith) services, or boarding services during the declared residency period, to verify the mare's residency period.

HOW TO ENROLL A BROODMARE

Enrollment of a broodmare as an ONTARIO RESIDENT MARE for the 2020 foal year requires completion of the 2020 *Standardbred Mare Enrollment Form*. The form and all required fees must be submitted to:

Ontario Resident Mare Program
Ontario Racing c/o Woodbine Mohawk Park
PO Box 160, Campbellville, ON L0P 1B0

Completed forms may be submitted by fax to 416-477-5499 "Attention: Ontario Resident Mare Program", if arrangements have been made for the remittance of all required fee payments. Ontario Racing accepts no responsibility for the receipt of faxed application forms by the required deadline. It is recommended that you retain a fax transmission receipt to confirm the date/time of the fax transmission.

The 2020 *Standardbred Mare Enrollment* forms are available on the Ontario Racing website at www.ontarioracing.com.

2.5 Embryo Transfer Eligibility Requirements

EMBRYO TRANSFERS OCCURRING IN 2020

Offspring of embryo transfer procedures occurring in 2020 (foals of 2021) will qualify for ONTARIO BRED status if the following conditions are met:

- Embryo Transfer Donor Mare must be enrolled in the Program after conception, and
- Embryo Transfer Donor Mare and Recipient Mare must be resident in Ontario at the time of enrollment, and
- Recipient Mare must complete the 180-day residency requirement and remain in the Province of Ontario through foaling in Ontario.

It is not required that the embryo transfer procedure occurred in Ontario.

The Program Administrator may request transportation documents and/or copies of records for the mare such as invoices for veterinary services, farrier (blacksmith) services, or boarding services during the declared residency period, to verify the Recipient Mare's residency period.

2.6 Reporting During the 180-Day Residency Period

DEATH OF THE MARE

In the event of the death of the mare prior to completion of the required residency period, a foal from the deceased mare will be eligible for ONTARIO BRED status provided the mare was enrolled as an ONTARIO RESIDENT MARE prior to her death. A letter outlining the circumstances of the death of the mare should be addressed to the Program Administrator.

SPECIAL CONSIDERATION FOR TEMPORARY ABSENCE

Special consideration may be granted for mares required to leave Ontario for breeding and/or health reasons, upon application by the mare owner or lessee to the Program Administrator and at the sole discretion of the Program Administrator. If permission is granted to leave Ontario, the change of location must be reported to Ontario Racing prior to departure and after her return.

If a mare's absence from Ontario during her 180-day residency period is approved by the Program Administrator, the residency period will be interrupted for the time she is out of the province and will resume when she returns. The 180-day residency period must be completed by December 31 of the foaling year.

TEMPORARY ABSENCE FOR SALE

With permission from the Program Administrator, an enrolled ONTARIO RESIDENT MARE may depart Ontario to be entered in a public sale/auction. If the mare returns to Ontario within thirty (30) days of departure, under the original ownership, the mare may resume her Ontario residency period until the 180-day requirement is fulfilled. The Program Administrator may request transportation and/or border crossing documents to validate entry date.

If the mare returns to Ontario under new ownership and the Ontario Bred Rewards were not transferred to the new owner, the new owner will be allowed to enroll the mare in the program and the original owner will lose all rights to future Ontario Bred Rewards for that foal. The mare's 180-day residency period starts the day the new owner enrolls her.

If the new owner does not enroll the mare, the original owner will only be eligible for the Ontario Bred Rewards if he is able to provide written verification from the new owner that the mare foaled in Ontario and fulfilled the 180-day residency requirement. The Program Administrator may request documents to verify the residency period.

SALE OF AN ONTARIO RESIDENT MARE

If an ONTARIO RESIDENT MARE is sold after she has been enrolled in the Program and the Ontario Bred Rewards were not transferred to the new owner, the new owner will be allowed to enroll her in the program, provided she continues to reside in the province. The original owner will lose all rights to future Ontario Bred Rewards for that foal. The mare's new 180-day residency period starts the day the new owner enrolls her.

If the new owner does not enroll the mare, the original owner who enrolled the mare in the Program will only be eligible for the Ontario Bred Rewards if he is able to provide written verification from the new owner that the mare foaled in Ontario and remained in Ontario until the end of her 180-day residency period. The Program Administrator may request documents to verify the residency period.

If an enrolled ONTARIO RESIDENT MARE is sold during the 180-day residency period, either privately or at public auction, Ontario Racing must be notified of the change of ownership within thirty (30) days of the sale transaction.

TRANSFER OF ONTARIO BRED REWARDS

If an enrolled ONTARIO RESIDENT MARE is sold during the 180-day residency period, either privately or at public auction, the Ontario Bred Rewards may be transferred to the new owner. A *Transfer of Ontario Bred Rewards* form must be completed and submitted to the Program Administrator. The *Transfer of Ontario Bred Rewards* form is available on the Ontario Racing website at www.ontarioracing.com.

Ontario Bred Rewards cannot be transferred unless the change of ownership process has been completed with Standardbred Canada.

REPORTING UPON COMPLETION OF THE 180-DAY RESIDENCY PERIOD

Participants who have enrolled an ONTARIO RESIDENT MARE will receive a Declaration of Compliance letter from the Program at the end of the 180-day residency period or after the mare has foaled, whichever occurs last.

The participant must complete the brief questionnaire to confirm the mare's fulfillment of the residency term and compliance with criteria, sign the document and return it to Ontario Racing for the mare's offspring to be eligible for Ontario Bred Rewards.

EXEMPTIONS

By application to the Program Administrator, special considerations may be granted for specific circumstances. However, failure to provide supporting documentation when requested by the Program Administrator could result in the foal being ineligible for ONTARIO BRED status.

PROGRAMS APPEAL ADJUDICATOR

Ontario Racing retains a Programs Appeal Adjudicator to conduct Program Appeals. The Adjudicator is required to:

1. Review and maintain full understanding of the Horse Improvement Program and Standardbred Improvement Program, including program objectives and all program criteria
2. Review appeal submissions from appellant and Ontario Racing Program Administrator
3. Hear program appeals brought before them by the Program Administrator
4. Make formal (written) decisions on Program appeals
5. Make recommendations to Program Administrator on program criteria changes

APPEAL PROCESS

Decisions of the Program Administrator may be appealed and will be heard by the Programs Appeal Adjudicator in a public meeting at which the appellant, as well as other interested stakeholders, may be present if they wish. There is no appeal of the Adjudicator's decision on Program matters.

3. PROGRAM DEFINITIONS

The following definitions will apply for the Standardbred Improvement Program for the 2020 Program year. A horse's status is determined by the criteria in effect in its birth year. A horse's status is retained for its entire racing career.

BREEDER OF RECORD means the individual, partnership, syndicate, or corporation who was the Owner of the dam at the time of conception; except when a mare is held under lease at time of breeding and written notification of such lease signed by the Lessor and the Lessee is on file with Standardbred Canada. When the dam (mare) is under lease at the time of breeding (and written notification is on file as required), the Lessee will be recognized as the BREEDER OF RECORD.

ELIGIBLE MARE OWNER /LESSEE means the individual or partnership who enrolls a broodmare in the ONTARIO RESIDENT MARE program. This is the individual or partnership that makes the business decision to participate in the Program and will be eligible to receive awards earned by the resulting ONTARIO BRED offspring. The ELIGIBLE MARE OWNER/LESSEE must hold a valid AGCO licence to enroll a mare.

NORTHERN HEMISPHERE STUD SEASON means that period during each calendar year, commencing when the stallion or the semen of the stallion breeds his first mare situated in the Northern Hemisphere, and ending the day the stallion breeds his last mare situated in the Northern Hemisphere. During this entire period, the stallion must remain situated in Ontario to remain eligible for ONTARIO SIRE status. Dual Hemisphere stallions must remain situated in Ontario for at least 170 consecutive days. Time spent by Dual Hemisphere stallions in quarantine in North America can be counted towards their requirement to be in Ontario for 170 days. For breeding stallions that are also racing or in the event of a medical emergency, Ontario Racing, in its sole discretion, may waive the requirement to remain situated in Ontario.

ONTARIO BRED means a horse foaled in the Province of Ontario, out of an ONTARIO RESIDENT MARE.

Foals of 2020 resulting from embryo transfer procedures will qualify for ONTARIO BRED status if the following conditions are met:

- The Embryo Transfer Donor Mare (dam of the foal) must be enrolled with the Program after conception
- The Embryo Transfer Donor Mare and the Recipient Mare must be resident in Ontario at the time of enrollment, **and**
- The Recipient Mare must complete the 180-day residency requirement and remain in Ontario through foaling in Ontario

The embryo transfer procedure does not have to occur in Ontario.



The Program will not recognize foals as twins if produced through embryo/ovum transfer. If such foals were produced through embryo/ovum transfer, only the Embryo Transfer Donor Mare's first foal registered with either Standardbred Canada or the United States Trotting Association (USTA) will be eligible for registration with Standardbred Canada as ONTARIO BRED.

ONTARIO RESIDENT means a person whose permanent home or principal home is in Ontario and who resides at that home for no less than 182 days in a calendar year.

A Registered stable, farm, corporation or limited partnership, registered with Standardbred Canada as an ownership group, will be considered an ONTARIO RESIDENT, IF:

- The stable, farm, corporation or limited partnership is registered with Standardbred Canada, and
- The primary business location for the stable, farm, or limited partnership - or the registered head office for the corporation - is in the Province of Ontario, and
- At least one member of the registered stable, farm, corporation, limited partnership or syndicate maintains a permanent home or principal home in Ontario and resides at that home for no less than 182 days in a calendar year.

Should any dispute or disagreement arise, the person (or entity) bears the onus of proving that they fall within the meaning of ONTARIO RESIDENT.

The records of Standardbred Canada, the recognized registry for Standardbred horses in Canada, will be the source of verification and validation for any documentation surrounding ownership, leases, or breeding records of registered ONTARIO SIREs or other horses recognized by the Program.

ONTARIO RESIDENT MARE means a mare, enrolled with the Program prior to foaling, with all Program fees paid, domiciled in the Province of Ontario for a minimum of 180 consecutive days, which includes the date of foaling out in Ontario and at the time of enrollment in the Program. Special consideration may be granted for mares required to leave the Province for breeding and/or health reasons, upon application of the Mare Owner (or Lessee) to the Program Administrator and at the sole discretion of the Program Administrator.



ONTARIO SIRE means a stallion registered with the Program, owned or leased by an ONTARIO RESIDENT, standing in the Province of Ontario for the entire NORTHERN HEMISPHERE STUD SEASON of the year of record, DNA tested, and registered with Standardbred Canada or the USTA. To be eligible to be registered in the Program, a stallion must be: (a) Wholly owned by an ONTARIO RESIDENT, and standing his entire NORTHERN HEMISPHERE STUD SEASON in the Province of Ontario; or (b) Leased to an ONTARIO RESIDENT for a term of at least one year, and standing his entire NORTHERN HEMISPHERE STUD SEASON in the Province of Ontario during the term of that lease, with a copy of the written lease agreement being filed with Standardbred Canada.

ONTARIO SIREd means a foal sired by a stallion that is recognized as an ONTARIO SIRE by the Standardbred Improvement Program in the year of conception. Only foals conceived as a result of a mating between a mare in the Northern Hemisphere and a recognized ONTARIO SIRE during the NORTHERN HEMISPHERE STUD SEASON are eligible to be registered with Standardbred Canada as ONTARIO SIREd. Foals conceived from frozen semen will be eligible to be registered with Standardbred Canada as ONTARIO SIREd only if the semen was collected and frozen in Ontario and is from a recognized ONTARIO SIRE at the time of collection and when the mare was inseminated. The semen must have been obtained through the ONTARIO SIRE registered owner, lessee or syndicate manager. If a recognized ONTARIO SIRE dies during the breeding season, the breeder may use frozen semen to finish that Northern Hemisphere breeding season only, provided the stallion has been properly registered for that season and provided the semen meets the above requirements.

ONTARIO STALLION LESSEE means an ONTARIO RESIDENT, who has signed a lease in which the initial term of the lease must be for no less than one year. A Lessor's rights may not be transferred during the NORTHERN HEMISPHERE STUD SEASON, except to another ONTARIO RESIDENT, and then only if the Program is so advised in writing by the previous Lessor within thirty (30) days of the transfer. Stallions under lease become ineligible for recognition as an ONTARIO SIRE where any of the rights of the Lessor under the lease are transferred: (a) to a non-Ontario Resident during the stallion's NORTHERN HEMISPHERE STUD SEASON; OR (b) to an ONTARIO RESIDENT, unless the Program is notified in writing within thirty (30) days of the transfer.



4. PROGRAM INCENTIVES AND REWARDS FOR 2020

4.1 Benefits for Owners of ONTARIO SIRED Horses

ONTARIO SIRES STAKES

The Ontario Sires Stakes program is an annual series of stakes races for two-year-old and three-year-old trotting and pacing horses sired by recognized ONTARIO SIRES. The Ontario Sires Stakes program was established in 1974 and is considered one of the best programs of its kind in North America.

Purses for Ontario Sires Stakes races are funded through the Standardbred Improvement Program and include nomination and sustaining fees paid by owners of eligible horses as well as starting fees paid for entries into the Ontario Sires Stakes races.

The Ontario Sires Stakes program is organized into two series of races: Gold Series and Grassroots Series. Within each series, races are organized into events by gait, gender and age. Both the Grassroots Series and the Gold Series include events in all eight categories.

Depending on the number of eligible entries in each event, each event will include one or more divisions. Based on the number of starters in each division, the available purse money is distributed as follows:

5 or more starters	50-25-12-8-5%;	2 starters	65-35%;
4 starters	50-25-15-10%;	1 starter	100%.
3 starters	60-30-10%;		

Horses racing in Grassroots Series events accumulate points based on race results, which qualify them for competition in the year-end Grassroots Championship races for each age/gender/gait category.

Horses racing in Gold Series events accumulate points based on race results, which qualify them for competition in the year-end Gold Super Finals for each of the age/gender/gait categories.

ELIGIBILITY FOR ONTARIO SIRES STAKES

An ONTARIO SIRED horse is eligible for all ONTARIO SIRED restricted races in Ontario, including the Ontario Sires Stakes program, provided that the eligibility requirements and race entry conditions are met. (See Section 3 “Program Definitions” for the definition of an ONTARIO SIRED horse).

Eligible ONTARIO SIRED offspring must be nominated to the Ontario Sires Stakes program to be eligible for entry into Ontario Sires Stakes races, and must meet all required nomination, sustaining and race entry criteria and conditions.



Nominations for foals to the Ontario Sires Stakes program close on May 15th of their yearling year.

The Ontario Sires Stakes Conditions, including nomination criteria, racing eligibility and entry requirements, are published each year, prior to the May 15th deadline for nominations. Eligibility criteria for the current year Ontario Sires Stakes program is available for reference in the Ontario Sires Stakes Racing Guide, which is printed annually and published on the Ontario Sires Stakes website at www.ontariosiresstakes.com.

ONTARIO SIRES STAKES PURSES

The actual purse per race is subject to annual budget approval. Purse values for the 2020 Ontario Sires Stakes program are as published in the 2020 Ontario Sires Stakes Racing Guide.

The budget for OSS purses in 2020 has been allocated at approximately \$15M.

PROSPECT SERIES – ONE YEAR PILOT

The Prospect Series is a pilot project for one year consisting of a series of stakes races for two-year-old and three-year-old trotting and pacing horses sired by recognized ONTARIO SIRES.

Purses for the series races are co-funded through the Standardbred Improvement Program and individual racetracks.

Within the series, races are organized into events by age, sex and gait.

A minimum of 5 horses must declare for the race to be contested. Based on the number of starters in each division, the available purse money is distributed as follows:

5 or more starters	50-25-12-8-5%;
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Horses racing in Prospect Series events accumulate points based on race results, which qualify them for competition in the year-end Finals for each of the age/sex/gait categories.

Should a Prospect Series event fail to fill due to lack of entries horses may declare in the corresponding Gold or Grassroots event. All horses that entered into a Prospect Series event that fails to fill shall receive 5 points.

4.2 Benefits for Mare Owners of ONTARIO RESIDENT MARES

The Standardbred Improvement Program provides Ontario Bred Rewards to owners/lessees of ONTARIO RESIDENT MARES. The rewards are intended to directly reward the breeder or mare owner who makes the business decision to participate in the Standardbred Improvement Program.

Owners/lessees of ONTARIO RESIDENT MARES will receive:

Ontario Bred Rewards

Ontario Bred Rewards will be paid to the owner/lessee of an ONTARIO RESIDENT MARE with ONTARIO BRED purse-winning offspring racing in Ontario Sires Stakes races and Finals of selected Open Stakes in Ontario.

A list of the selected Open Stakes that are eligible for Ontario Bred Rewards will be posted annually on the Ontario Racing website.

In 2020, ONTARIO BRED REWARDS for OSS races will be capped at \$1M and will be paid out twice per year (in August and December) on a pro-rata basis according to the horse's OSS earnings.

ONTARIO BRED REWARDS for Open Stakes have been capped at \$130K with an individual cap of 20% of Open Stakes earnings per horse. These Rewards will be paid out in December.

FOR CLARITY: A horse must be ONTARIO SIREED to be eligible for Ontario Sires Stakes racing (or any races that are restricted to ONTARIO SIREED horses). A horse could be ONTARIO BRED, and not be ONTARIO SIREED, and still be eligible for benefits attached to its ONTARIO BRED status (Ontario Bred Rewards for purse earnings in Finals of selected Open Stakes, for example). If an ONTARIO BRED horse is also ONTARIO SIREED, it is eligible for rewards for both categories.

4.3 Breeders' Enhancement Program

Funding for this program is being administered by Ontario Racing as part of the Long Term Funding Agreement.

The Enhanced Breeders Rewards Program is intended to promote the breeding and racing of quality Standardbreds that are both ONTARIO BRED and ONTARIO SIREED. The program is designed to reward breeders who reside their mares within the province of Ontario and who support the stallions that stand in Ontario and are registered with the Ontario Sires Stakes Program.

HIP Ontario Bred Rewards Top-Up

\$1.5M - To be used to augment the Ontario Bred Rewards that exist under HIP. Eligible horses will also need to meet the requirement of being ONTARIO SIREED. Horses eligible to receive rewards under this part of the rewards program will share in their pro-rata split of the rewards, based on their earnings in Ontario Sires Stakes races and selected open stakes races in Ontario.

Leading Divisional Performer Rewards

\$400,000 – Each of the eight OSS divisions will split rewards amongst the top five performers in each of the respective divisions, based on OSS earnings. The rewards will be split in the following manner:

- 1st - \$25,000 (50%)
- 2nd - \$12,500 (25%)
- 3rd - \$6,000 (12%)
- 4th - \$4,000 (8%)
- 5th - \$2,500 (5%)

Recipients of these rewards will also have to meet the criteria of being ONTARIO BRED (from Ontario Resident Mares). Should any of the highest earning individuals in any given division not be ONTARIO BRED (from Ontario Resident Mares), then the next highest earner who meets the criteria will receive the respective reward.

Leading Stallion Rewards

\$100,000 – \$25,000 will be paid to the top OSS stallions based on earnings in the OSS in each of the following categories:

- 2-year-old trotters
- 2-year-old pacers
- 3-year-old trotters
- 3-year-old pacers

In order to receive a reward, a stallion must currently reside in the province. As an example, if a stallion was the leading money winning sire of 2-year-old trotters in 2019, then the stallion must have also stood in the province that same year. If a stallion did not stand in the province at this time, then the next highest earning stallion that meets the criteria will receive the reward. This reward is payable to the stallion owner/syndicate.

5. THE HORSE IMPROVEMENT PROGRAM

The Horse Improvement Program (HIP) is a racing and breeding incentive program that was established in 1974. The Program plays a direct role in increasing employment in the racing industry, helping Ontario farms remain economically stable, and encouraging ownership in Ontario-produced horses.

The purpose of HIP is to:

- Benefit the Ontario racing industry in general and to grow the industry in a sustainable and responsible way,
- Support the Ontario breeding sector,
- Invest in equine research, and
- Promote participation in the Ontario Horse Improvement Program.

The Horse Improvement Program is funded through a pari-mutuel tax reduction on wagering.

ROLES AND RESPONSIBILITIES

The Program Administrator

Ontario Racing, as the Program Administrator for the Horse Improvement Program, is responsible for the development and implementation of all Program elements to achieve the identified goals and objectives of the Program.

Ontario Racing is responsible for approving all Program criteria, eligibility requirements and rules and procedures surrounding implementation and administration of the Program.

As Program Administrator, Ontario Racing has responsibility for:

- Ensuring the integrity of all payments to eligible recipients of HIP incentives and benefits
- Setting targets (expected performance outcomes and results) for Program expenditures
- Developing a program for evaluating and measuring the results of Program implementation
- Reporting on Program performance to the industry and the government
- Recording and publicizing a list of horses that have been registered with, and accepted by, the Program as ONTARIO SIREs for each breeding year
- Recording eligible ONTARIO RESIDENT MARES for each foaling year
- Authorizing the designation of a foal's (horse's) lifetime status for HIP benefits on registration records or certificates issued by Standardbred Canada or the Canadian Thoroughbred Horse Society

- Informing all racetracks of a horse's eligibility for racing incentives that are funded by HIP and distributed through purse accounts
- Promoting the Horse Improvement Program to support investment in Ontario's breeding and racing sectors

HIP includes incentives and benefits for mare owners, stallion owners, and owners of active racehorses. The Program Administrator is responsible "To ensure that all those eligible are paid, and that all those paid are eligible."

Ontario Racing is committed to working with industry stakeholders to develop a process for annual review of Program performance and outcomes, and a process for involving industry stakeholders in revisions to Program elements and long-term strategic planning for the Program. A HIP Administration Committee is in place for each of the Thoroughbred, Standardbred, and Quarter Horse sectors. These groups are made up of industry stakeholders who are responsible for:

- Working with the Program Administrator to review the annual performance of HIP in the context of the identified goals and objectives of the Program
- Providing recommendations (and input) to the Program Administrator on the annual budget and Program elements for the next year
- Providing recommendations to the Program Administrator on the annual Program eligibility requirements, and Program Criteria documents
- Developing recommendations on Program targets and benchmarks
- Developing recommendations to the Program Administrator on program changes for future years, that reflect changes in the business environment for the Ontario breeding and/or racing sector
- Developing recommendations on marketing and communication programs surrounding HIP

Where appropriate, Ontario Racing will work in partnership with pedigree registries, Ontario racetracks, and industry associations to administer HIP.

Pedigree Registries

Ontario Racing will rely on registration records maintained by Standardbred Canada as the source of valid documentation related to the identification, ownership, or ownership leases for Standardbred horses recognized by the Program.

Ontario Racing will authorize Standardbred Canada to designate a horse's lifetime status for HIP benefits on registration records or certificates issued for eligible foals/horses, as ONTARIO BRED and/or ONTARIO SIREd. Standardbred Canada will provide current listings of horses registered as ONTARIO BRED and/or ONTARIO SIREd.

Pedigree registries are required to provide such other activity reports as are required to support reporting on Program performance to the industry and to the government.

Ontario Racetracks

One of the goals of HIP is to encourage the adoption of common definitions and terminology to be shared by the Program Administrator, the racetracks, and the breeder and horseperson's associations in Ontario.

Where race conditions restrict eligibility to Ontario progeny, or where additional purse incentives are available for Ontario progeny, it is expected that the HIP definitions for ONTARIO SIRE and ONTARIO BRED will apply to such races.

Where HIP benefits are distributed to eligible owners of active racehorses through purse account payments, Ontario Racing will provide Ontario racetracks validation of eligibility for such incentives.

When applicable, Ontario racetracks will be responsible for:

- Validating eligibility for HIP restricted races
- Validating eligibility of Owners/Lessees for HIP funded purse incentives
- Reporting to Ontario Racing the race entries and race results for HIP-funded races, or races that receive HIP funded bonuses or added money
- Reporting to Ontario Racing the results of races that impact a horse's future eligibility for Program benefits (i.e. claiming races)
- Other racing activity reports as are required to support reporting on Program performance to the industry and to the government

Industry Association Partners

Ontario Racing will partner with industry associations wherever possible to maximize the effective administration of HIP and the value of HIP expenditures. Ontario Racing may enlist industry associations such as Standardbred Canada or CTHS (Ontario Division), to provide services that support the administration of the Program.

The provision of Program services by a designated industry association will operate under terms specified in a contractual agreement between Ontario Racing and the industry association.

6. FREQUENTLY ASKED QUESTIONS

What happens if the criteria changes for ONTARIO BRED and ONTARIO SIRE status?

A horse's status is recorded on its Registration Record with Standardbred Canada and is based on the criteria in effect in its birth year. This status is maintained for the horse's entire racing career.

Can a non-Ontario resident participate in the Resident Mare Program?

You do not need to be an ONTARIO RESIDENT to enroll a broodmare as an ONTARIO RESIDENT MARE. The mare must be in Ontario at time of registration, must meet the requirements for residency in Ontario, and must foal out in Ontario. The owner/lessee of the mare who enrolls her as an ONTARIO RESIDENT MARE will be eligible to receive Ontario Bred Rewards earned by her offspring.

Non-Ontario residents can also benefit from the Program by purchasing ONTARIO SIRE horses and capitalizing on the racing opportunities that are attached to the status of these horses.

What happens if I sell my Ontario Resident Mare before the requirements of the Program are met? Who will get the awards and bonuses?

If you sell a mare that you enrolled as an ONTARIO RESIDENT MARE for the current foal year, you will only be eligible to receive the Ontario Bred Rewards IF the new owner does not enroll the mare after purchasing her AND if you can provide written verification from the new owner that the mare was kept in Ontario to foal out and that she completed her required 180 days of residency. Proof may also be required to support the claim.

If you sell your mare during her 180-day residency period, you have the option to transfer the Ontario Bred Rewards to the new owner along with the mare, thereby adding value to the transaction. If you choose to transfer the Ontario Bred Rewards, the *Transfer of Ontario Bred Rewards form* (available on www.ontarioracing.com) must be completed and submitted to Ontario Racing.

What is the earliest date that I can enroll my mare in the Program so that she can begin her 180-day residency requirement for the 2020 foal year?

An ONTARIO RESIDENT MARE can be enrolled in the Program any time, provided she is in Ontario at the time of enrollment. The residency period for mares enrolled in 2020 will begin on the day the 2020 *Standardbred Mare Enrollment form* is postmarked. The mare must reside in Ontario for a minimum of 180 consecutive days surrounding foaling.



Can I get the \$100 mare enrollment fee back if my mare does not produce a live foal?

The mare enrollment fee is not refundable.

I forgot to register my mare in the Ontario Resident Mare Program before she foaled. What can I do?

An ONTARIO RESIDENT MARE can be enrolled in the Program after foaling for a fee of \$300, which must be paid by September 1st of the foaling year. Proof that the mare fulfilled the residency requirement must also be provided.

In 2020, I'm planning to have my mare's embryo transferred to a Recipient Mare. Which mare do I have to enroll and by what deadline?

It is only the Embryo Transfer Donor Mare (your mare) that must be enrolled as an ONTARIO RESIDENT MARE. Although the Recipient Mare will serve the 180-day residency period in Ontario, it is the registered dam of the resulting foal that must be enrolled with the Program. Both the Donor Mare and the Recipient Mare must be resident in Ontario at the time of enrollment but only the recipient mare is required to fulfil the 180-day residency requirement. The Donor Mare must be enrolled prior to the Recipient Mare foaling out in Ontario.

I enrolled my mare in the Ontario Resident Mare Program and I have now received the foal's registration papers, but it does not say the foal is ONTARIO BRED.

The ONTARIO BRED designation does not show on the Foal Registration papers; it is shown on the Standardbred Canada TrackIT system.

What happens if I sell my Ontario stallion during the breeding season?

As long as the stallion is sold or leased to an ONTARIO RESIDENT and remains in Ontario for the remainder of the breeding season, it will maintain its eligibility for that year. Ontario Racing must be notified within 30 days of the sale.

I have frozen semen from a deceased ONTARIO SIRE. Can I breed my mare with it and get an ONTARIO SIRE foal?

For a foal to be considered ONTARIO SIRE, the frozen semen must be used in a year that the Stallion is registered with the Program. If the stallion dies during a breeding season, the frozen semen can only be used to finish that breeding season.

Can my stallion leave and breed mares in the United States and still be considered an ONTARIO SIRE?

No, your stallion must remain standing in Ontario for his entire NORTHERN HEMISPHERE STUD SEASON.



If I register a stallion for the OSS and then move him out of the province before he breeds any mares, will I get a refund of my Registration Fee and Levy?

The Registration Fee and Levy are not refundable.

If I purchase a stallion after the January 15th deadline, can I still register him for the Ontario Sires Stakes?

As long as the stallion has not bred any mares in the current season, he can be registered as an ONTARIO SIRE for a late fee of \$500 plus the Levy.

What happens if I miss one of the deadlines, or the Program Administrator has ruled that my horse is ineligible for any of the SIP designations (ONTARIO RESIDENT MARE, ONTARIO SIRE, ONTARIO SIRE, or ONTARIO BRED)?

An Owner/Lessee may contact Ontario Racing to request an exemption. The exemption request will be heard by the Programs Appeal Adjudicator in a public meeting at which the owner, as well as other interested stakeholders, may be present in support of the application for exemption. There is no appeal of the Adjudicator's decision on Program matters.

If I do not hold a current AGCO licence, am I still eligible for Ontario Bred Rewards?

Yes. You do not require an AGCO licence simply to receive Ontario Bred Rewards. However, BREEDERS must hold a current, AGCO licence for each year in which they enroll an ONTARIO RESIDENT MARE or register an ONTARIO SIRE.

When will I receive my Ontario Bred Rewards?

Ontario Bred Rewards for OSS earnings are paid twice a year in August and December. Rewards for Open Stakes earnings are paid at the end of the season.



STANDARD BRED IMPROVEMENT PROGRAM

Contact Information

PROGRAM ADMINISTRATOR

ONTARIO RACING

c/o Woodbine Mohawk Park
PO Box 160, Campbellville, ON L0P 1B0
Attention: Program Administrator,
Standardbred Improvement Program
Telephone: 905-854-7808 | Fax: 416-477-5499
Email: sbprogram@ontarioracing.com | ontarioracing.com

ONTARIO SIRES STAKES

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ONTARIO RESIDENT MARE PROGRAM

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